

Non mandated rulemakings-- Miscellaneous

Agency	Citation	Begins on Page #	Excerpt about Non- Mandated Rulemaking	Note
Appropriate Federal banking agencies	619	255	“(4) PROPRIETARY TRADING.—The term ‘proprietary trading’, when used with respect to a banking entity or nonbank financial company supervised by the Board, means engaging as a principal for the trading account of the banking entity or nonbank financial company supervised by the Board in any transaction to purchase or sell, or otherwise acquire or dispose of, any security, any derivative, any contract of sale of a commodity for future delivery, any option on any such security, derivative, or contract, or any other security or financial instrument that the appropriate Federal banking agencies, the Securities and Exchange Commission, and the Commodity Futures Trading Commission may, by rule as provided in subsection (b)(2), determine.	Subsection (b)(2)- see page 246 amending Bank Holding Company Act of 1956 (12 U.S.C. 1841 et seq.), Sec. 13(h)(4); see page 245 for beginning of amendment
Appropriate Federal banking agencies	619	255	“(6) TRADING ACCOUNT.—The term ‘trading account’ means any account used for acquiring or taking positions in the securities and instruments described in paragraph (4) principally for the purpose of selling in the near term (or otherwise with the intent to resell in order to profit from short-term price movements), and any such other accounts as the appropriate Federal banking agencies, the Securities and Exchange Commission, and the Commodity Futures Trading Commission may, by rule as provided in subsection (b)(2), determine.	Subsection (b)(2)- see page 246. Paragraph (4) see page 255 amending Bank Holding Company Act of 1956 (12 U.S.C. 1841 et seq.), Sec. 13(h)(6); see page 245 for beginning of amendment

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<p>Comptroller of Currency/ FDIC/ National Credit Union Administration Board / Federal Housing Finance Agency/ Bureau of Consumer Financial Protection</p>	<p>1472(a)</p>	<p>813</p>	<p>“(g) RULES AND INTERPRETIVE GUIDELINES.— “(1) IN GENERAL.—Except as provided under paragraph (2), the Board, the Comptroller of the Currency, the Federal Deposit Insurance Corporation, the National Credit Union Administration Board, the Federal Housing Finance Agency, and the Bureau may jointly issue rules, interpretive guidelines, and general statements of policy with respect to acts or practices that violate appraisal independence in the provision of mortgage lending services for a consumer credit transaction secured by the principal dwelling of the consumer and mortgage brokerage services for such a transaction, within the meaning of subsections (a), (b), (c), (d), (e), (f), (h), and (i).</p>	<p>"Paragraph (2) see page 813. Subsections (a) and (b), see page 812. Subsections (c) through (f) see page 813. Subsections (h) and (i) see page 814. Chapter 2 of the Truth in Lending Act (15 U.S.C. 1631 et seq.) is amended by inserting after section 129D (as added by section 1461(a)), Sec. 129E(g); see page 812 for beginning of amendment</p>
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