

Adam Warren

Managing Director



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Adam Warren, a Managing Director in NERA's Securities and Finance Practice, has over 30 years of experience in the financial services industry.

Mr. Warren specializes in financial services industry issues with an emphasis on financial, commodity, and complex derivative instruments; trading systems and processes; financial markets and exchanges; technology and operations; and market, credit, and operational risk management of financial, derivative, and commodity products. He has assisted more than 15 securities, commodity, and derivative exchanges, as well as numerous regulators, brokerage firms, clearing houses, hedge funds, and investment management companies globally. He has presented at industry and legal events and has authored articles on risk management and commodity and financial markets.

Mr. Warren also provides court and arbitration testimony. He has testified in US federal courts, several state courts, and international courts. Also, Mr. Warren has testified in Financial Industry Regulatory Authority and other SRO arbitrations regarding issues such as industry standards and practices, alleged front running, insider trading, Regulation SHO and NMS violations, valuation and structure of auction rate securities, and the nature of the auction rate securities market, options trading, and complex derivative valuation. Mr. Warren has also testified before the International Court of Arbitration of the ICC.

Mr. Warren has spent more than 30 years working with numerous firms on trading, valuation, investments, and operational and risk management issues for a wide variety of global clients.

Education

MBA in finance, University of Chicago

BA in history, University of Chicago

Practice Areas

Class Actions and Class Certification

Commercial Litigation and Damages

Consumer Financial Services

Financial Institutions and Banking

Financial Risk Management

International Arbitration

Securities and Finance

Valuation

White Collar, Investigations and Enforcement

About NERA

NERA Economic Consulting (www.nera.com) is a global firm of experts dedicated to applying economic, finance, and quantitative principles to complex business and legal challenges. For over half a century, NERA's economists have been creating strategies, studies, reports, expert testimony, and policy recommendations for government authorities and the world's leading law firms and corporations. With its main office in New York City, NERA serves clients from more than 25 offices across North America, Europe, and Asia Pacific.